

# **THE NONPROFIT SECTOR AND PHILANTHROPY**

## **NPA 671**

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## **SYLLABUS**

Master of Nonprofit Administration  
School of Management  
University of San Francisco  
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# **THE NONPROFIT SECTOR AND PHILANTHROPY**

## **NPA 671**

### **SYLLABUS**

#### **Course Description**

This is a foundation course, examining the origins and societal role of private nonprofit organizations, including their historical, social, political, economic, cultural, and ideological importance in the U.S. and beyond. Current trends in the nonprofit sector and projections for the future are analyzed.

#### **Course Overview**

Collective action in American society takes countless forms, from committees that last a few days to mega-structures like the U.S. Army. Enduring groups outside the family are generally of three types: government, private for-profit, and private nonprofit. The last will be the focus of this course.

Nonprofits are themselves quite diverse, from tiny grassroots groups to very large organizations like California Pacific Medical Center, American Red Cross, and Harvard University. The course traces the historical development, current dimensions, and societal functions of this sector as well as the parallel phenomenon of philanthropy, especially giving and volunteering. Special topics include theories of the nonprofit sector and philanthropy, the role of foundations and corporate funders, international nonprofit work, and the future of nonprofits and philanthropy.

#### **Course Objectives**

This course will result in an informed overview of the reasons why nonprofits and philanthropy exist; the history, size, and shape of philanthropy and the nonprofit sector; and the roles nonprofits and philanthropy play in society. The course will also help the student “place” her/his organization and type of work within the larger context of the nonprofit sector and philanthropy.

## **Schedule**

NPA 671 is organized into seven 4-hour sessions covering the following topics:

Session 1: History of American nonprofits and philanthropy: colonial period, 19<sup>th</sup> century

Session 2: History of American nonprofits and philanthropy: 20<sup>th</sup> and early 21<sup>st</sup> centuries

Session 3: Current dimensions of American nonprofits and philanthropy

Session 4: International perspectives

Session 5: Foundation and corporate philanthropy

Session 6: Theories of the nonprofit sector and philanthropy

Session 7: Recent trends and future projections

## **Texts**

Michael O'Neill. Nonprofit Nation: A New Look at the Third America. San Francisco: Jossey-Bass, 2002.

David C. Hammack (ed.). Making the Nonprofit Sector in the United States: A Reader. Bloomington, Ind.: Indiana University Press, 2000.

Lester M. Salamon. America's Nonprofit Sector: A Primer. 3<sup>rd</sup> edition. New York: Foundation Center, 2012.

## **Requirements**

Students are required to:

1. come to each class (including Session 1) having read the required readings and being prepared to discuss them;
2. take a 2-hour closed-book written examination at the beginning of Session 4 on the material covered in Sessions 1-3;
3. write a research paper of 2500-3000 words (10-15 pages double space), due at Session 7. The paper should develop a course-related topic in more depth than is possible in the required readings and class discussions. Thus, more extensive use of the supplementary readings is expected. Papers will be evaluated on such criteria as clarity, organization, accuracy, presentation, logic, relevance, scholarship, originality, comprehensiveness, and significance. See the course Blackboard site, "Course Documents," for sample topics and papers.

## **Evaluation**

Examination .....	40%
Paper .....	50%
Class preparation and participation.....	10%

# THE NONPROFIT SECTOR AND PHILANTHROPY

## Session One

### HISTORY OF AMERICAN NONPROFITS AND PHILANTHROPY: COLONIAL PERIOD, 19<sup>th</sup> CENTURY

#### Required Readings

From Hammack:

- Statute of Charitable Uses, pp. 5-8
- Elizabethan Poor Law, pp. 9-13
- Benjamin Franklin, Autobiography, pp. 70-84
- Constitution of the United States of America, pp. 111-113
- Dartmouth College case, pp. 123-141
- Kathleen McCarthy, "Parallel Power Structures," pp. 248-263
- W. E. B. DuBois, "Cooperation Among Negro Americans," pp. 264-280

Andrew Carnegie, "The Gospel of Wealth," in Wall, 1992 (available online from USF library, <http://www.usfca.edu/library>, "Course Reserves")

#### Supplementary Readings, Session 1 and 2

- |  |                                       |
|--|---------------------------------------|
| Axinn and Levin, 1982  | Hammack, 1998                         |
| Bremner, 1956, 1980, 1988, 1994                                      | Horowitz, 1976                        |
| Brilliant, 1990, 2000  | Jordan, 1959                          |
| Burlingame, 2004   | Karl and Katz, 1981                   |
| Chambers, 1986   | McCarthy, 1982, 1991, 2003            |
| Commission on Private Philanthropy and<br>Public Needs, 1977, vol. 1 | Powell and Steinberg, 2006, Chapter 1 |
| Curti, 1956  | Owen, 1964                            |
| DiMaggio, 1990   | Schlesinger, 1964                     |
| Ellis and Noyes, 1990  | Scott, 1991                           |
| Fosdick, 1989  | Smith and Lipsky, 1993                |
| Friedman and McGarvie, 2003  | Trattner, 1984                        |
| Hall, 1982, 1992, 2006   | Zunz, 2012                            |

## **Learning Objectives**

At the end of Session 1, the student will understand:

- the roots of the American nonprofit sector in British history, laws, and institutions
- the religious, demographic, legal, and social framework of charitable organizations in the seventeenth and eighteenth centuries
- the principal reasons for the growth of the nonprofit sector during the nineteenth century
- ideas and practices of philanthropy and nonprofit work among women and African Americans in the nineteenth century
- the respective roles of (a) government, (b) host-culture associations, and (c) immigrant/ethnic/religious associations in the development of the nonprofit sector in the nineteenth century

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## Session Two

### HISTORY OF AMERICAN NONPROFITS AND PHILANTHROPY: 20<sup>th</sup> AND EARLY 21<sup>st</sup> CENTURIES

#### **Required Readings**

From Hammack:

Pierce v. Society of Sisters, pp. 404-421

Steven R. Smith and Michael Lipsky, “The Political Economy of Nonprofit Revenues,” pp. 454-473

Peter Dobkin Hall, “A Historical Overview . . .” in Powell and Steinberg, 2006 (available online from USF library, <http://www.usfca.edu/library>, “Course Reserves”)

Salamon, 2012a, Chapter 5

#### **Supplementary Readings**

See Session 1.

#### **Learning Objectives**

At the end of Session 2, the student will understand the following developments in the last 100+ years:

- the impact on the nonprofit sector of federal government policies and funding
- the principal reasons for the growth of the nonprofit sector and philanthropy
- changing relationships between the nonprofit sector and (a) government and (b) business
- the changing balance between philanthropy, government grants and contracts, and fees for service in the funding of nonprofits

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## Session Three

### CURRENT DIMENSIONS OF AMERICAN NONPROFITS AND PHILANTHROPY

#### Required Readings

O'Neill, 2002, Chapters 1, 3-8, 11, Appendix

Salamon, 2012a, Chapters 3, 6-11

#### Supplementary Readings

Elizabeth Boris & Eugene Steuerle, "Scope and Dimensions of the Nonprofit Sector," in Powell and Steinberg, 2006 (available online from USF library, <http://www.usfca.edu/library>, "Course Reserves")

Bowen et al., 1994

Campoamor et al., 1999

Chaves, 2004

Clark, 1980

Clotfelter, 1992

Clotfelter and Erlich, 1999, Chapter 1

Commission on Private Philanthropy and  
Public Needs, 1975, 1977

Daniels, 1988

DiMaggio, 1986

Fremont-Smith, 2004

Gallegos and O'Neill, 1991

Giving USA, published annually

Hammack and Young, 1993

Hodgkinson and Weitzman, 1988, 1990,  
1992, 1994

Hodgkinson, Weitzman, and Kirsch, 1988  
Institute for Nonprofit Organization  
Management, 1995, 2009

Milofsky, 1988

O'Connell, 1987

O'Connell and O'Connell, 1989

Odendahl and O'Neill, 1994

O'Neill, 1994

Powell, 1987, Chapter 4

Powell and Steinberg, 2006, Chapter 3

Putnam, 2000

Roeger, Blackwood, and Pettijohn, 2012

Salamon, 1995; 2012b, Chapters 2-13

Smith, 2000

Smith and Friedman, 1972

Smith, Shue, Vest, and Villareal, 1999

Van Til, 1988, 2000

Weisbrod, 1988

Weitzman et al., 2002

Wuthnow and Hodgkinson, 1990

#### Learning Objectives

At the end of Session 3, the student will understand

- the magnitude of the nonprofit sector as measured by number of organizations, employees, and volunteers, and various financial and other indicators
- the relative financial and personnel dimensions of major nonprofit subsectors
- the principal sources of nonprofit revenue, and differences in revenue patterns among major nonprofit subsectors

# THE NONPROFIT SECTOR AND PHILANTHROPY

## Session Four

### INTERNATIONAL PERSPECTIVES

**Note:** Examination on required readings and class discussions of Sessions 1-3

#### **Required Readings**

O'Neill, 2002, Chapter 9

Lester Salamon, "The Global Associational Revolution" in Salamon, 1995 (available online from USF library, <http://www.usfca.edu/library>, "Course Reserves")

#### **Supplementary Readings**

Anheier, 2005

Fisher, 1993, 1998

Gidron, Kramer, and Salamon, 1992

Ilchman, Katz, and Queen, 1998

James, 1989

Kramer, 1981

McCarthy, 2001

McCarthy, Hodgkinson, Sumariwalla, and associates, 1992

Powell, 1987, Chapters 22-24

Powell and Clemens, 1998, Chapters 10-12

Powell and Steinberg, 2006, Chapters 4, 14, 17

Salamon, 2012b, Chapter 8

Salamon and Anheier, 1994

Salamon et al., 1999

Ware, 1989

Wolch, 1990

#### **Learning Objectives**

At the end of Session 4, the student will understand:

- history and dimensions of U.S. nonprofit work abroad
- growth of the nonprofit/nongovernmental/civil society sector internationally
- major differences between the U.S. nonprofit sector and nonprofit sectors in other nations
- legal, political, sociological, cultural, and economic factors that may explain the differences in nonprofit roles and growth between the U.S. and other nations
- different traditions, ideas, and practices of philanthropy in other countries

# THE NONPROFIT SECTOR AND PHILANTHROPY

## Session Five

### FOUNDATION AND CORPORATE PHILANTHROPY

#### **Required Readings**

O'Neill, 2002, Chapter 10

Kenneth Prewitt, "Foundations," in Powell and Steinberg, 2006 (available online from USF library, <http://www.usfca.edu/library>, "Course Reserves")

Reynold Levy, "Corporate Philanthropy Comes of Age," in Clotfelter and Ehrlich, 1999 (available online from USF library, <http://www.usfca.edu/library>, "Course Reserves")

#### **Supplementary Readings**

Anheier and Hammack, 2010

Burlingame, 1992

Commission on Foundations and Private Philanthropy, 1970

Commission on Private Philanthropy and Public Needs, 1975, 1977

Dowie, 2001

Fleishman, 2007

Fosdick, 1989 [1952]

Fremont-Smith, 1965

Galaskiewicz, 1985, 1997

Karl and Katz, 1981

Kass, 2008

Keppel, 1930

Lagemann, 1989, 1999

Levy, 1999

Magat, 1979, 1989a, 1989b, 1999

Nielsen, 1972, 1985

Odendahl, 1987, 1990

Payton and Moody, 2008

Powell, 1987, Chapters 19-20

Powell and Steinberg, 2006, Chapter 8

Salamon, 2012b, Chapter 12

Schervish, 1994

Schervish, Coutsoukis, and Lewis, 1994

Shannon, 1991

#### **Learning Objectives**

At the end of Session 5, the student will understand:

- the statistical dimensions of institutional philanthropy
- the roles that foundations and corporate giving play in American society

# THE NONPROFIT SECTOR AND PHILANTHROPY

## Session Six

### THEORIES OF THE NONPROFIT SECTOR AND PHILANTHROPY

#### Required Readings

O'Neill, 2002, Chapter 2

Salamon, 2012a, Chapter 2

David Horton Smith, "The Impact of the Voluntary Sector on Society," in Smith, 1973 (available online from USF library, <http://www.usfca.edu/library>, "Course Reserves")

Alexis de Tocqueville, "Political Associations in the United States" and "Of the Use Which Americans Make of Public Associations in Civil Society," in Hammack, pp. 142-153

#### Supplementary Readings

Adams, 1986

Anderson, 1971

Anheier, 2005, Chapter 6

Anheier and Ben-Ner, 2003

Banton, 1968

Berger and Neuhaus, 1977

Boulding, 1981

Commission on Private Philanthropy and  
Public Needs, 1975

DiMaggio and Anheier, 1990

Douglas, 1983

Ellman, 1982

Frumkin, 2002

Gamwell, 1984

Hansmann, 1980, 1996

Hodgkinson and Foley, 2003

Lohmann, 1992

O'Connell, 1999

Olson, 1965

Ostrander, Langton, and Van Til, 1987

Payton, Novak, O'Connell, and Hall, 1988

Powell, 1987, Chapters 2-3

Powell and Steinberg, 2006, Chapters 5, 9-  
10

Putnam, 2000

Rose-Ackerman, 1986

Salamon, 1995

Sievers, 2010

Sills, 1968

Skocpol and Fiorina, 1999

Smith, 1973, 2000, 2006

Sulek, 2010a, 2010b

Value of Associations . . ., 1990

Van Til, 1988, 1990

Walzer, 1991

Warren, 2001

Weisbrod, in Phelps, 1975

Weisbrod, 1988, 1998

Wuthnow, 1991

Young, 1983

#### Learning Objectives

At the end of Session 6, the student will understand:

- the principal anthropological, historical, sociological, economic, and political theories of the nonprofit sector and philanthropy

# **THE NONPROFIT SECTOR AND PHILANTHROPY**

## **Session Seven**

### **RECENT TRENDS AND FUTURE PROJECTIONS**

#### **Required Readings**

O'Neill, 2002, Chapter 12

Salamon, 2012a, Chapter 12

#### **Supplementary Readings**

Hodgkinson and Lyman, 1989, Chapters 20, 28

O'Neill, 2009

Salamon, 2012b, Chapter 1

#### **Learning Objectives**

At the end of Session 7, the student will understand

- the logics of prediction
- major recent trends in the American nonprofit sector and philanthropy
- hypotheses about the future of the nonprofit sector and philanthropy in the next quarter century

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